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# Anxiolytic-like profile of mirtazapine in rat conditioned fear stress model: Functional significance of 5-hydroxytryptamine 1A receptor and $\alpha$ 1-adrenergic receptor

Nobukazu Kakui <sup>a,\*</sup>, Fumikazu Yokoyama <sup>a</sup>, Miki Yamauchi <sup>a</sup>, Koichi Kitamura <sup>a</sup>, Taiichiro Imanishi <sup>a</sup>, Takeshi Inoue <sup>b</sup>, Tsukasa Koyama <sup>b</sup>

<sup>a</sup> Pharmaceutical Research Center, Meiji Seika Kaisha, Ltd. 760, Morooka-cho, Kohoku-ku, Yokohama 222-8567, Japan

<sup>b</sup> Department of Psychiatry, Neural Function, Hokkaido University Graduate School of Medicine, North 15, West 7, Kita-ku, Sapporo, 060-8638, Japan

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## ABSTRACT

Mirtazapine is an antidepressant with a unique mechanism of action and has been categorized as a Noradrenergic and Specific Serotonergic Antidepressant (NaSSA). Although numerous clinical trials suggested the usefulness of mirtazapine for not only major depressive disorders but also a variety of anxiety disorders, efficacy studies in animal anxiety models have been rarely reported. The present study investigated a potential anxiolytic-like profile of mirtazapine in rat conditioned fear stress model. A 5-hydroxytryptamine (5-HT) 1A receptor partial agonist, buspirone (1–5 mg/kg) exhibited a significant reduction in freezing time, and its maximal effect was reversed by a selective 5-HT<sub>1A</sub> antagonist, WAY-100635 (1 mg/kg). Mirtazapine (1–10 mg/kg) also reduced the freezing time in a dose-related fashion, a substantial proportion (approx. 50%) of which was likewise antagonized by WAY-100635 (1 mg/kg). Mianserin (1–30 mg/kg), a structural analogue for mirtazapine, was ineffective. Furthermore, co-administration of  $\alpha$ 1 adrenoceptor antagonist, prazosin (0.03 mg/kg) completely reversed mirtazapine (10 mg/kg)-induced reduction of freezing time. These findings represent the first demonstration that the anxiolytic-like action of mirtazapine involves activation of 5-HT<sub>1A</sub> receptor and  $\alpha$ 1 adrenoceptor to different extents, and are compatible with one aspect of mirtazapine's pharmacological profile as NaSSA.

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# 1. Introduction

Mirtazapine, a novel 'atypical' antidepressant, has a unique mechanism of action. The pharmacological profile of mirtazapine is characterized by a potent antagonism of presynaptic  $\alpha$ 2-adrenergic receptor on both noradrenaline and serotonin (5-hydroxytryptamine: 5-HT) neurons and by a potent antagonism of postsynaptic 5-HT<sub>2</sub> and 5-HT<sub>3</sub> but not 5-HT<sub>1A</sub> receptor (de Boer, 1996). Unlike many currently available antidepressants, this drug does not affect serotonergic and noradrenergic transporters in a significant manner (de Boer, 1996). The net outcome of these actions is enhanced noradrenergic and serotonergic neurotransmission, in particular via the 5-HT<sub>1A</sub> receptor, the profile being officially recognized as a Noradrenergic and Specific Serotonergic Antidepressant (NaSSA) (Sambunaris et al., 1997; Sartorius et al., 2007). Several clinical studies have demonstrated beneficial effects of mirtazapine for the treatment of major depressive disorders (Anttila and Leinonen, 2001; Holm and Markham, 1999; Szegedi and Schwertfeger, 2005). Phenotypic similarities to these findings have been reported in some rodent depression models (O'Connor and Leonard, 1986; Rauggi et al., 2005; Reneric et al., 2002). On the other hand, most recent clinical trials established a newer generation of antidepressants as an important therapeutic modality for various types of anxiety disorders, and mirtazapine is also not the exception (Falkai, 1999). The efficacy of this drug has been indicated in general anxiety disorder (Gambi et al., 2005), panic disorder (Sarchiapone et al., 2003), social anxiety disorder (Van Veen et al., 2002), and obsessive-compulsive disorder (Koran et al., 2005). However, in marked contrast to this, efficacy studies in animal anxiety models have been rarely performed.

Conditioned fear stress (CFS) test is an established murine anxiety model in which animals pre-exposed to inescapable electric foot shocks show a typical freezing behavior under the same condition despite the absence of the shock exposure (Fanselow and Helmstetter, 1988). In addition to classical anxiolytic benzodiazepines, serotonergic anxiolytics like ipsapirone (5-HT<sub>1A</sub> agonist) and selective serotonin reuptake inhibitor such as citalopram, and precursor of 5-HT, 5hydroxy-L-tryptophan have been shown to be effective in reducing conditioned freezing in this model (Inoue et al., 1996a,b; Rittenhouse et al., 1992). As explicitly suggested from these findings, the CFS model is thought to be suitable for evaluation of drugs endowed with the ability of stimulating serotonergic neurotransmission.

In the present study, we aimed to assess the anxiolytic-like profile of mirtazapine in terms of its dependence on 5-HT<sub>1A</sub> receptor and to compare it with a 5-HT<sub>1A</sub> partial agonist, buspirone and a structural analogue of mirtazapine, mianserin (de Boer et al., 1988). For further

<sup>\*</sup> Corresponding author. Tel.: +81 45 541 2521; fax: +81 45 545 3152. *E-mail address*: nobukazu\_kakui@meiji.co.jp (N. Kakui).

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exploration of the in vivo function of mirtazapine, we focused on the role of central  $\alpha$ 1-adrenergic receptor in particular on the 5-HT neurons and assessed the effects of combined treatment with  $\alpha$ 1-selective antagonist, prazosin (Menkes et al., 1981).

#### 2. Materials and methods

# 2.1. Animals

Male Sprague–Dawley rats (Charles River Japan, Atsugi, Japan) weighing 270–300 g at the beginning of the experiments were used. The rats were housed six per cage in a temperature- and humidity-controlled room (lights on 0700 h, lights off 1900 h). Water and chow were available ad libitum. Animal care was performed according to the protocols reviewed by the Ethical Committee for Animal Experiment in Meiji Seika Pharmaceutical Research Center.

# 2.2. Drugs

Mirtazapine (Tocris Cookson Inc., Ellisville, MO) was suspended in 0.5% methylcellulose. Buspirone hydrochloride and the selective 5-HT<sub>1A</sub> antagonist, WAY-100635 maleate (Sigma-Aldrich, St. Louis, MO) were dissolved in 0.9% saline. Mianserin hydrochloride (Tocris Cookson Inc.) was suspended in 0.9% saline. Prazosin hydrochloride (Sigma-Aldrich) was dissolved in 0.5% methylcellulose. All of the tested drugs were administered intraperitoneally (i.p.) at a volume of 1 ml/kg.

# 2.3. Conditioned fear stress (CFS) test

In this procedure, rats were conditioned to anticipate a foot shock. On the first day, they were individually subjected to inescapable electric foot shocks for a total of 5 min (1 mA of scrambled shock; shock duration of 30 s $\times$ 5; and an intershock interval of 30 s) in an operant chamber (31×25×31 cm, Muromachi Kikai, Tokyo, Japan) with a stainless grid floor. A scrambled current shock was delivered via a shock generator (Model SGS-002, Muromachi Kikai). The test session was performed about 24 h after the exposure to electric foot shock. The rats were again placed in the same box and observed for 5 min in the shock chamber with no current applied to the floor of the chamber. Freezing was defined as the absence of all observable movement of the skeleton and the vibrissae, except for those related to respiration. Automated records were taken for freezing time with a software program (Freeze Frame: Actimetrics, Wilmette, IL). Mirtazapine (0.3, 1, 3, and 10 mg/kg), buspirone (0.3, 1, 2, and 5 mg/kg), mianserin (1, 10, and 30 mg/kg), or each vehicle was injected 30 min before the measurement. In combined treatment tests, WAY-100635 (0.3 and 1 mg/kg), prazosin (0.03 mg/kg) or each of their respective vehicles were injected simultaneously with the above drugs.

# 2.4. Locomotion

The effects of each drug treatment on spontaneous locomotor activity were evaluated, coincident with the timing of CFS test. Thirty minutes after the drug administration, the rats were individually placed in a transparent plastic activity cage  $(31 \times 40 \times 17 \text{ cm})$ . Locomotion measurement system (NS-AS01, Neuroscience, Tokyo) consisted of an infrared sensor positioned just above the cage and the application software (Act-1). The locomotor activity was recorded for 5 min and analyzed by the software.

# 2.5. Statistical analysis

All results were represented as mean  $\pm$  S.E.M. Statistical significance of the differences among multiple groups was tested using oneway analysis of variance followed by Dunnett's multiple comparison test. A two-tailed Student's *t* test was used to evaluate the difference between two experimental groups. Values associated with a probability (p value) of <0.05 were considered significant.

## 3. Results

3.1. Anxiolytic-like effect of buspirone and its reversal by combined treatment with WAY-100635 in CFS test

Buspirone showed an anxiolytic-like effect and its maximal activity was observed at 1–2 mg/kg (freezing time:  $105.3 \pm 25.5$  s at 1 mg/kg, p<0.05,  $100.5 \pm 19.3$  s at 2 mg/kg, p<0.01, vs.  $207.3 \pm 21.2$  s in vehicle group) (Fig. 1A). While WAY-100635 (1 mg/kg) was ineffective in altering basal freezing responses on its own, it reversed the anxiolytic-like effect of buspirone (2 mg/kg) in a dose-dependent manner ( $147.7 \pm 22.1$  s at



**Fig. 1.** Effect of single treatment with buspirone (A), mirtazapine (B), and mianserin (C) on the freezing time in rat CFS test. All tested drugs were administered 30 min before the measurements. Data are represented as mean  $\pm$  SEM (n=9–10). #p<0.05 and ##p<0.01, significantly different from the values in vehicle group.



**Fig. 2.** Effect of concomitant treatment with WAY-100635 on buspirone (2 mg/kg)- (A) and mirtazapine (10 mg/kg)- (B) induced anxiolytic effects in rat CFS test. WAY-100635 (0.3–1 mg/kg) and each of the two drugs were co-administered 30 min before the measurements. Data are represented as mean  $\pm$  SEM (A: n = 10-11, B: n = 11-12). ###p < 0.01 and \*p < 0.05, \*\*p < 0.01, significantly different from the values in vehicle and drug-control group, respectively.

0.3 mg/kg, p>0.05, 212.2  $\pm$  21.7 s at 1 mg/kg, p<0.01, vs. 118.9  $\pm$  16.5 s in vehicle group) (Fig. 2A).

3.2. Anxiolytic-like effect of mirtazapine and its reversal by combined treatment with WAY-100635 in CFS test

Mirtazapine elicited a dose-dependent reduction in freezing time (92.4  $\pm$  24.2 s at 1 mg/kg, p < 0.05, 68.4  $\pm$  26.4 s at 3 mg/kg, p < 0.01, 55.3  $\pm$  13.1 s at 10 mg/kg, p < 0.01, vs. 195.5  $\pm$  26.5 s in vehicle group) (Fig. 1B). Concomitant treatment with WAY-100635 (0.3 and 1 mg/kg) dose-dependently and partially antagonized the anxiolytic-like effects of mirtazapine at 10 mg/kg (118.0  $\pm$  24.9 s at 0.3 mg/kg, p > 0.05, 132.4  $\pm$  19.0 s at 1 mg/kg, p < 0.05, vs. 66.7  $\pm$  14.7 s in vehicle group) (Fig. 2B).

# 3.3. Effect of mianserin on freezing behavior in CFS test

Mianserin did not induce any significant changes in freezing behavior at doses tested in this study (1-30 mg/kg) (Fig. 1C).

3.4. Differential effects of prazosin on anxiolytic-like action of mirtazapine and buspirone in CFS test

Although systemic administration of prazosin (0.03 mg/kg) by itself showed no significant changes in freezing time, it completely



**Fig. 3.** Complete disappearance of anxiolytic action of mirtazapine by concomitant treatment with prazosin in rat CFS test. Prazosin (0.03 mg/kg) was co-administered with mirtazapine (10 mg/kg) or buspirone (2 mg/kg) 30 min before the measurements. Data are represented as mean  $\pm$  SEM (n = 10). ##p<0.01, ##p<0.001 and \*\*\*p<0.001, significantly different from the values in vehicle and mirtazapine-control group, respectively.

reversed the anxiolytic-like responses induced by mirtazapine at 10 mg/kg (145.5 ± 24.4 s in prazosin group, p < 0.001, vs.  $40.9 \pm 8.1$  s in vehicle group) (Fig. 3). By contrast, the co-administration of prazosin did not significantly alter the anxiolytic-like effects of buspirone at 2 mg/kg (Fig. 3).

# 3.5. Effect of tested drugs on locomotor activity

Locomotion measurement studies clearly indicated that any single (buspirone at 2 mg/kg, mirtazapine at 10 mg/kg, WAY-100635 at 1 mg/kg, or prazosin at 0.03 mg/kg) and concomitant (with WAY-100635 or prazosin) treatments did not induce significant changes in spontaneous locomotor activities of animals (Table 1).

# 4. Discussion

The present pharmacological study clearly indicated the anxiolytic-like effects of mirtazapine (Fig. 1B), for the first time, in rat CFS model. The efficacy of mirtazapine was independent of changes in locomotor activities (Table 1) and partially reversed by combined treatment with WAY-100635 (Fig. 2B). Also the effects of this drug were completely sensitive to co-administered prazosin (Fig. 3). These results may well explain one aspect of mirtazapine's pharmacological profile as a noradrenergic and serotonergic antidepressant (Berendsen and Broekkamp, 1997; De Boer et al., 1994; de Boer et al., 1996).

Table 1

Effects of combined treatments with buspirone (2 mg/kg), mirtazapine (10 mg/kg), WAY-100635 (1 mg/kg), and prazosin (0.03 mg/kg) on spontaneous locomotor activities.

Treatments	Locomotion (counts/5 min)	
Vehicle-vehicle	213.0±7.2	
Vehicle-buspirone	$193.4 \pm 20.5$	N.S. (vs. vehicle-vehicle)
WAY-vehicle	$215.6 \pm 9.1$	N.S. (vs. vehicle-vehicle)
WAY-buspirone	$188.4 \pm 14.5$	N.S. (vs. vehicle-vehicle)
Vehicle-vehicle	$208.4 \pm 3.4$	
Vehicle-mirtazapine	$196.9 \pm 13.2$	N.S. (vs. vehicle-vehicle)
WAY-vehicle	$211.1 \pm 6.9$	N.S. (vs. vehicle-vehicle)
WAY-mirtazapine	$191.3 \pm 18.3$	N.S. (vs. vehicle-vehicle)
Vehicle-vehicle	$207.1 \pm 8.6$	
Prazosin-vehicle	$176.9 \pm 14.7$	N.S. (vs. vehicle-vehicle)
Vehicle-mirtazapine	$188.4 \pm 14.3$	N.S. (vs. vehicle-vehicle)
Prazosin-mirtazapine	$186.0 \pm 8.5$	N.S. (vs. vehicle-vehicle)

Data are expressed as mean  $\pm$  SEM (n = 7).



Fig. 4. Schematic diagram illustrating the 'NaSSA' concept for mirtazapine (from de Boer, 1996).

As a positive control, buspirone showed an anti-anxiety effect at 1-5 mg/kg (Fig. 1A). This was quantitatively consistent with the previous reports in the same model (Thompson and Rosen, 2006; Wislowska-Stanek et al., 2005). The anxiolytic-like effect of buspirone (2 mg/kg) was dose-dependently antagonized by WAY-100635 (Fig. 2A). With regard to 5-HT<sub>1A</sub> receptor pharmacology, functional heterogeneity in the pre- and post-synaptic receptors should always be taken into account. According to a recent study, a 5-HT<sub>1A</sub> agonist, flesinoxan exerts its anxiolytic-like action in rat CFS model via direct stimulation of postsynaptic 5-HT<sub>1A</sub> receptor in the hippocampus and amygdala (Li et al., 2006). Meanwhile, a differential action of WAY-100635 has been suggested in mouse depression model where it may preferentially bind to presynaptic 5-HT<sub>1A</sub> receptors at lower doses and the occupancy of postsynaptic receptors appears to be observed at higher doses (Harasawa et al., 2006). The existence of different dose-related pharmacological effects of 5-HT<sub>1A</sub> antagonists is also supported by two studies that used the CFS models. One study demonstrates that a lower dose (0.15 mg/kg) of WAY-100635 augmented anxiolytic-like effects of citalopram, suggesting that the blockade of 5-HT<sub>1A</sub> autoreceptor-mediated negative feedback may enhance the serotonergic neurotransmission and the relevant anxiolytic-like effects (Muraki et al., 2008). Likewise, NAN-190, the different 5-HT<sub>1A</sub> antagonist, strengthened at a low dose (0.1 mg/kg) anti-freezing effects of citalopram, while the treatments with higher doses (1-10 mg/ kg) partially opposed the low dose response, probably due to the blockade of postsynaptic 5-HT<sub>1A</sub> receptor (Hashimoto et al., 1997). Corresponding to these concerns, the present result that the relatively higher dose (not 0.3 but 1 mg/kg) of WAY-100635 was required for complete blockade of the anxiolytic-like effects of buspirone is highly suggestive of the pathophysiological significance of postsynaptic 5-HT<sub>1A</sub> receptor in this anxiety model.

Despite the striking similarity of structural properties in general, mirtazapine and mianserin are pharmacologically different from each other (de Boer et al., 1988), and indeed displayed quite distinct anxiolytic-like profiles in this study; mirtazapine dose-dependently reduced the freezing time (Fig. 1B), while mianserin was completely ineffective in attenuating the responses (Fig. 1C). Mirtazapine primarily functions as an  $\alpha$ 2-autoreceptor antagonist to stimulate noradrenaline release from its synaptic terminals (Fig. 4), which subsequently triggers indirect activation of 5-HT neurons in the raphe nucleus via excitatory  $\alpha 1$  receptor, because of the relatively weaker antagonistic activity of this drug for  $\alpha$ 1- compared with  $\alpha$ 2-receptor (4–15-fold difference in Kd values) (de Boer, 1996; Westenberg, 1999). Moreover, blockade by mirtazapine of  $\alpha$ 2-heteroreceptor located on serotonergic nerve terminals leads to a further increase in 5-HT neurotransmission by preventing the inhibitory effect of noradrenaline on 5-HT release (Fig. 4). In terms of the adrenoceptor binding properties, mianserin was found to be equally active as an antagonist for both  $\alpha 1$  and  $\alpha 2$  receptors ( $\alpha 1/\alpha 2$  ratio [Kd value] = 0.66), and this drug was also a much more potent noradrenaline reuptake inhibitor than mirtazapine (de Boer et al., 1988). Reflecting these in vitro receptor binding profiles, previous electrophysiological studies demonstrated enhancement of the firing activity of 5-HT neurons in the treatment with mirtazapine (Haddjeri et al., 1996) but not with mianserin (Blier et al., 1984; Scuvee-Moreau and Dresse, 1979). Consistent with these pharmacological concepts are microdialysis studies dissociating the effects of mirtazapine and mianserin on serotonergic neurotransmission. Mirtazapine enhanced the amounts of both noradrenaline and 5-HT released in hippocampal dialysates, while mianserin only increased noradrenaline and failed to affect serotonergic neurotransmission (De Boer et al., 1994; de Boer et al., 1996). Given the facts that a variety of serotonergic agents exhibit anxiolytic-like effects in CFS model, (Inoue et al., 1996a,b; Rittenhouse et al., 1992) and that treatment with the selective noradrenergic reuptake inhibitor, reboxetine failed to reduce the expression of anxiety (Inoue et al., 2006), the differences in anxiolytic-like efficacy between the two drugs can be accounted for by presence or absence of 5-HT-enhancing profiles. The effect of mirtazapine was partially but significantly reversed by 1 mg/kg of WAY-100635 (Fig. 2B), the dose of which fully corresponded to that with the maximal reversal of buspirone-induced reduction in freezing behavior (Fig. 2A). These findings strongly suggest the relative importance of serotonergic neurotransmission and at least in part, activation of postsynaptic 5-HT<sub>1A</sub> receptor in the anxiolytic-like action of mirtazapine. However, WAY-100635 did not completely reverse the effects of mirtazapine and this may suggest that mechanisms other than 5-HT<sub>1A</sub> receptor activation also contribute to the anxiolytic-like effects of mirtazapine. It has been reported that a selective  $5-HT_{2C}$  receptor antagonist, SB242084 is effective in certain animal tests of anxiety (Kennett et al., 1997). In this connection, our independent studies revealed that a single treatment with SB242084 at its typical dose (0.3 mg/kg) does not affect the freezing time (unpublished data), suggesting that 5-HT<sub>2C</sub> receptor antagonism of mirtazapine does not directly contribute to its anxiolytic-like effects in this model.

To substantiate the differences in pharmacological mechanisms of anxiolytic-like actions between mirtazapine and mianserin, further experiments focusing on the physiological role of  $\alpha 1$  receptor were performed. The selective and centrally active  $\alpha 1$  antagonist, prazosin (Menkes et al., 1981), completely suppressed mirtazapine-induced anxiolytic-like responses at the dose being ineffective on its own (Fig. 3), accompanied by no significant changes in locomotor activities (Table 1). The dose of prazosin used in this study was within the reported range (Rouquier et al., 1994) and did not alter the anxiolyticlike effects of buspirone in a significant manner (Fig. 3), which may help guarantee the specificity of  $\alpha$ 1-receptor blockade. These findings probably underscore the importance of  $\alpha 1$  receptor-mediated activation of 5-HT neurons in the mirtazapine-induced anxiolytic-like actions. Previous studies have demonstrated that noradrenaline neurons extend their axons to the raphe region where they exert a tonic  $\alpha 1$  receptor-mediated excitatory influence on 5-HT neurons (Fig. 4) projecting to the forebrain (Baraban and Aghajanian, 1980, 1981). Therefore, changes in the afferent noradrenergic activities at the level of the dorsal and median raphe nucleus may modulate 5-HT neurotransmission in several brain regions highly implicated in fear conditioning and anxiety such as the frontal cortex, hippocampus, and amygdala (Bechara et al., 1995; Inoue et al., 2004; Morgan and LeDoux, 1995). In fact, systemic administration of prazosin evoked a marked reduction in the firing rate of dorsal raphe 5-HT neurons (Lejeune et al., 1994), and decreased 5-HT release in the rat hippocampus (Hjorth et al., 1995; Rouquier et al., 1994). More direct evidence comes from the studies in which mirtazapine-induced 5-HT release in median raphe nucleus and hippocampus was blocked by cotreatment with prazosin (Westenberg, 1999). Collectively, these observations document the causal involvement of  $\alpha 1$  receptor in the anxiolytic-like actions induced by mirtazapine, and the key difference in pharmacological profile from mianserin may be attributed to the extent of antagonistic activity for this receptor.

A phenotype of interest in this study was anxiolytic-like profiles of mirtazapine and the comparators by their acute treatments. The fact that clinically useful and late-onset anxiolytics such as selective serotonin reuptake inhibitor, citalopram (Inoue et al., 1996a) and 5-HT<sub>1A</sub> receptor agonist, buspirone (Figs. 1 and 2A; Thompson and Rosen, 2006; Wislowska-Stanek et al., 2005) stably show their acute effects may suggest a predictive validity of this model. However, further studies testing efficacies of drugs including mirtazapine after long term treatments should also be taken into account for an appropriate extrapolation of the preclinical data to substantial clinical evidence, particularly in terms of the maintenance of efficacy.

In the present study, we demonstrated the anxiolytic-like profile of mirtazapine, but not of mianserin, in animal model of anxiety. The anxiolytic-like action of mirtazapine was significantly reversed by the 5-HT<sub>1A</sub> receptor antagonist WAY-100635 and  $\alpha$ 1 receptor antagonist prazosin to varying degrees, highlighting the importance of  $\alpha$ 1 receptor in the enhanced 5-HT neurotransmission and of postsynaptic 5-HT<sub>1A</sub> receptor in the actual therapeutic efficacy of mirtazapine in the CFS model. Taken as a whole, these findings are in general agreement with 'NaSSA' concept for mirtazapine and also support clinical efficacy of this drug in a variety of anxiety disorders.

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